

CONFLICT OF INTEREST CODE FOR THE DEPARTMENT OF CANNABIS CONTROL

The Political Reform Act (Government Code, section 81000 et seq.) requires state and local government agencies to adopt and promulgate conflict of interest codes. The Fair Political Practices Commission has adopted a regulation (California Code of Regulations, title 2, section 18730) that contains the terms of a standard conflict of interest code, which can be incorporated by reference in an agency's code. After public notice and hearing, the standard code may be amended by the Fair Political Practices Commission to conform to amendments in the Political Reform Act. Therefore, the terms of California Code of Regulations, title 2, section 18730, and any amendments thereto duly adopted by the Fair Political Practices Commission, are hereby incorporated by reference. This regulation and the attached Appendices, designating positions and establishing disclosure categories, shall constitute the conflict of interest code of the Department of Cannabis Control (Department or DCC).

The Director must file their statements of economic interests electronically with the **Fair Political Practices Commission**. All other individuals holding designated positions must file their statements with the **Department**. All statements must be made available for public inspection and reproduction under Government Code, section 81008.

Authority: Section 26013, Business and Professions Code; Section 87300, Government Code. Reference: Section 87300 et seq., Government Code.

Administration Division

| <u>Designated Position</u> | <u>Disclosure Category</u> |
|---|----------------------------|
| <u>Deputy Director's Office</u> | |
| Deputy Director | 1 |
| Staff Services Manager I (Specialist) | 2 |
| <u>Branch Chief Offices (Financial Management/Human Resources/Operations)</u> | |
| Staff Services Manager (all levels) | 2 |
| <u>Budgets</u> | |
| Staff Service Manager II | 2 |
| State Service Manager I (Specialist) | 2 |
| <u>Personnel</u> | |
| Staff Service Manager (all levels) | 2 |
| <u>Acquisitions</u> | |
| Associate Governmental Program Analyst | 2 |
| Staff Service Manager I | 2 |
| <u>Business Services</u> | |
| Staff Services Analyst | 2 |
| Operations Manager II | 2 |

Compliance Division

| <u>Designated Position</u> | <u>Disclosure Category</u> |
|--|-----------------------------------|
| Deputy Director | 1 |
| CEA A | 3 |
| Environmental Program Manager (all levels/specifications) | 3 |
| Environmental Scientist | 3 |
| Senior Environmental Scientist (Supervisory) | 3 |
| Special Investigator | 3 |
| Supervisory Special Investigator (all levels/specifications) | 3 |
| Staff Service Manager I | 3 |
| Associate Management Auditor | 3 |

Enforcement Division

| <u>Designated Position</u> | <u>Disclosure Category</u> |
|--|-----------------------------------|
| Deputy Director | 1 |
| Investigator (all levels/specifications) | 3 |
| Supervising Investigator (all levels/specifications) | 3 |

Equity and Inclusion Division

| <u>Designated Position</u> | <u>Disclosure Category</u> |
|-----------------------------------|-----------------------------------|
| Deputy Director | 1 |
| Attorney (all levels) | 1 |

Executive Division¹

| <u>Designated Position</u> | <u>Disclosure Category</u> |
|--|-----------------------------------|
| Director | 1 |
| Chief Deputy Director | 1 |
| General Counsel | 1 |
| Staff Services Manager (Specialist) | 2 |
| <u>Equal Employment Opportunity (EEO) Office</u> | |
| Staff Services Manager II (Managerial) | 1 |
| <u>Office of Policy and Research</u> | |
| CEA A | 1 |
| Staff Services Manager (all levels) | 3 |
| Associate Governmental Program Analyst | 3 |

External Affairs Division

| <u>Designated Position</u> | <u>Disclosure Category</u> |
|-------------------------------------|-----------------------------------|
| Deputy Director | 1 |
| CEA A | 3 |
| Staff Services Manager (all levels) | 3 |
| Information Officer II | 3 |

¹ The Deputy Director of each division is listed within that division.

Information Technology Services Division

| <u>Designated Position</u> | <u>Disclosure Category</u> |
|---|----------------------------|
| Deputy Director/Chief Information Officer | 1 |
| <u>Information Security</u> | |
| IT Manager I | 2 |
| <u>Infrastructure & Enterprise Services</u> | |
| IT Manager I | 2 |
| IT Supervisor II | 2 |
| <u>IT Application</u> | |
| IT Manager I | 2 |
| IT Specialist II | 2 |
| IT Supervisor II (all specifications) | 2 |
| <u>California Cannabis Track and Trace (CCTT) / Licensing Systems</u> | |
| Staff Services Manager II | 2 |
| Staff Services Manager I (all specifications) | 2 |

Laboratory Division

| <u>Designated Position</u> | <u>Disclosure Category</u> |
|---|----------------------------|
| Deputy Director | 1 |
| Research Scientist Supervisor II | 3 |
| Research Scientist (all level/specifications) | 3 |
| Environmental Program Manager I (Supervisory) | 3 |
| Senior Environmental Scientist (Supervisory) | 3 |
| Senior Environmental Scientist (Specialist) | 3 |
| Environmental Scientist | 3 |

Legal Affairs Division

| <u>Designated Position</u> | <u>Disclosure Category</u> |
|--|----------------------------|
| Deputy Director | 1 |
| Assistant Chief Counsel | 1 |
| Attorney (all levels) | 1 |
| Staff Services Manager I | 3 |
| Staff Management Auditor I (Supervisory) | 3 |
| Associate Management Auditor | 3 |

Legislative and Governmental Affairs Division

| <u>Designated Position</u> | <u>Disclosure Category</u> |
|---------------------------------------|-----------------------------------|
| Deputy Director | 1 |
| Staff Services Manager I (Specialist) | 3 |

Licensing Division

| <u>Designated Position</u> | <u>Disclosure Category</u> |
|---|-----------------------------------|
| Deputy Director | 1 |
| Environmental Program Manager I | 3 |
| Senior Environmental Scientist (Supervisor) | 3 |
| Staff Services Manager (all levels) | 3 |

APPENDIX A – DISCLOSURE CATEGORIES

Consultants and new positions shall be included in the list of designated positions and shall disclose pursuant to the broadest disclosure category in this code (i.e., Category 1), subject to the following limitation:

The Director or any employee designated by the Director may determine, in writing, that a particular consultant or new position, although a “designated position,” is hired to perform a range of duties that is limited in scope and thus is not required to fully comply with the disclosure requirements described in this section. Such determination shall include a description of the consultant’s or new position’s duties and, based upon that description, a statement of the extent of disclosure requirements. The Department’s determination is a public record and shall be retained for public inspection in the same manner and location as this conflict of interest code. (Government Code, section 81008.)

Category 1 – All Interests/Full Disclosure

Designated positions assigned to this category must report investments, real property, business positions, and sources of income (including gifts, loans, and travel payments).

Category 2 – Procurement-Related Interests

Designated positions assigned to this category must report investments, business positions, and sources of income (including gifts, loans, and travel payments) if the business entity or source provides any goods (including facilities, vehicles, machinery, office supplies, or other equipment; and software or other Information Technology products) or services (including training or consulting services, and Software-as-a-Service or other IT support services) of the type used by the Department.

Category 3 – Regulated-Business Interests

Designated officials or employees assigned to this category must report:

- (a) Real property within a local jurisdiction in which entities subject to the licensing or other regulatory jurisdiction of the Department may lawfully operate; and
- (b) investments, business positions, and sources of income (including gifts, loans, and travel payments) if the business entity or source is either of the following:
 - (1) An entity subject to the licensing or other regulatory jurisdiction of the Department. This specifically includes, but is not limited to, an individual or other entity that has sought or received any license of any kind from the Department, as well as an individual or other entity that has engaged in activity requiring such a license (even if the individual or entity has failed to seek a license for that activity).
 - (2) Any officer, employee, or owner (as defined in California Code of Regulations, title 4, section 15003, or any successor regulation) of, or any holder of a financial interest (as defined in California Code of Regulations,

title 4, section 15004, or any successor regulation) in, an entity subject to the licensing or other regulatory jurisdiction of the Department.