

State of California, Department of Cannabis Control

Commercial Cannabis Owner Submittal Form

The Department of Cannabis Control (Department) requires each owner identified in a commercial cannabis license application to provide ownership information required pursuant to California Code of Regulations (CCR), title 4, section [15002](#). An owner is defined in CCR, title 4, section [15003](#). The Department will use the information provided to determine the owner's qualification for licensure. Failure to provide the requested information will result in processing delays. Each individual that meets the [regulatory definition of an owner](#) must file a separate owner submittal and provide all the following information:

Commercial Cannabis Business

Application/License Number:

Legal Business Name:

Owner Information

Full Legal Name:

Taxpayer Identification Number: (check applicable option and provide number)

Social Security Number:

Individual Taxpayer Identification Number:

Date of Birth:

Place of Birth (include the city, state, and country):

Government Issued ID Number:

Current Employer(s):

Note: Please review restrictions for owners set forth in [CCR 15005](#) that prohibits certain personnel from being issued and holding a license(s) prior to submission of this form.

Job Title:

Percentage (0-100) of Ownership Interest Held:

Mailing Address (include street address, city, state, and zip code):



Primary Telephone Number:

Email Address:

Owner Disclosures

Have you had any administrative orders or civil judgements for violations of labor standards within the past three years?

No

Yes - Detailed description required (attach additional pages as needed):

Have you ever had a license sanctioned for unauthorized commercial cannabis activities and/or had a license suspended or revoked by a licensing authority, local, or state agency within the past three years?

No

Yes - Detailed description required (attach additional pages as needed):

Have you been subject to fines, penalties, or otherwise been sanctioned for cultivation or production of a controlled substance on public or private lands pursuant to Business and Professions Code section 26057(b)(6)?

No

Yes - Detailed description required (attach additional pages as needed):



Owner Attestations

Under penalty of perjury, I hereby declare that the information contained within and submitted with this application is complete, true, and accurate. I understand that a misrepresentation of fact is cause for rejection of this application, denial of the license, or revocation of a license issued.

Print Name:

Owner Signature:

Date:

Owners of Commercial Cannabis Businesses pursuant to CCR, title 4, section [15003](#):

(a) An applicant for a commercial cannabis license or a licensee shall disclose all owners of the commercial cannabis business. An owner of the commercial cannabis business includes all of the following:

(1) A person with an aggregate ownership interest of 20 percent or more in the commercial cannabis business, unless the interest is solely a security, lien, or encumbrance. For purposes of this section, "aggregate" means the total ownership interest held by a single person through any combination of individually held ownership interests in a commercial cannabis business and ownership interests in an entity that has an ownership interest in the same commercial cannabis business. For example, a person who owns 10 percent of the stock in a commercial cannabis business as an individual shareholder and 100 percent of the stock in an entity that owns 10 percent of the stock in the same commercial cannabis business has a 20 percent aggregate ownership interest in the commercial cannabis business.

(2) An individual who manages, directs, or controls the operations of the commercial cannabis business, including but not limited to:

(A) A member of the board of directors of a nonprofit.

(B) A general partner of a commercial cannabis business that is organized as a partnership.

(C) A non-member manager or managing member of a commercial cannabis business that is organized as a limited liability company.

(D) The trustee(s) and all persons who have control of the trust and/or the commercial cannabis business that is held in trust.

(E) The chief executive officer, president or their equivalent, or an officer, director, vice president, general manager or their equivalent.



(b) If the commercial cannabis business is owned in whole or in part by an entity and the entity includes individuals who manage, direct, or control the operations of the commercial cannabis business, as described in subsection (a)(2)(E), those individuals shall also be disclosed as owners.

(c) If available evidence indicates that an individual qualifies as an owner, the Department may notify the applicant or licensee that they must either disclose the individual as an owner and submit the information required by section 15002 or demonstrate that the individual does not qualify as an owner.

Disclosures

Social Security Number/Individual Taxpayer Identification Number

Business and Professions Code section 30 and Public Law 94-455 (42 U.S.C.A. 405 (c)(2)(C)) authorizes the collection of an owners Social Security Number (SSN) or Individual Taxpayer Identification Number (ITIN). The disclosure of an owners SSN or ITIN is mandatory. The information will be used exclusively for tax enforcement purposes and for purposes of compliance with Family Code section 17520. If an SSN or ITIN is not provided, the Department will not process the application and you will be reported to the Franchise Tax Board, which may assess a penalty pursuant to Revenue and Taxation Code section 19528.

Access to Personal Information

You may review the records maintained by the Department that contain your personal information, as permitted by the Information Practices Act. To do so, please contact the Department by phone at 1-844-612-2322, by e-mail at publicrecords@cannabis.ca.gov or by mail to the Department of Cannabis Control, P.O. Box 419106 Rancho Cordova, CA 95741-9106.

